

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 ART UNIT FIL FEE REC'D APPL NO ATTY.DOCKET NO **DRAWINGS** TOT CLMS IND CLMS (c) DATE 10/658,216 09/09/2003 2836 0.00 02453.0022.NPUS00 19 ` 8

CONFIRMATION NO. 2715

27240

HOWREY SIMON ARNOLD & WHITE, LLP - OC

091*0*812003

301 RAVENSWOOD AVENUE

FILING RECEIPT

OC000000011390636*

#BOX 34

MENLO PARK, CA 94025

DEC 0 8 2003

Howing - JV

Date Mailed: 12/02/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Erik R. Swenson, Residence Not-Provided; 50, 505 Stephen R. Haddock, Residence Not Provided; La Handa, CA

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 12/01/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No ^

Title

System for and method of communicating control information between entities interconnected by backplane connections ...

Preliminary Class

DOCKETED.

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

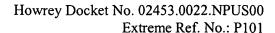
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Erik R. Swenson, et al.

Application No.: 10/658,216

Filed: September 8, 2003

For: SYSTEM FOR AND METHOD OF

COMMUNICATING CONTROL

INFORMATION BETWEEN ENTITIES INTERCONNECTED BACKPLANE

CONNECTION

Art Unit: Unassigned

Examiner: Unassigned

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a request for a corrected filing receipt. The filing receipt (copy enclosed) is incorrect in that it does not show the correct date of filing.

Therefore, Applicant respectfully requests that the date of filing be corrected on the filing receipt from September 9, 2003 to September 8, 2003. We have enclosed copies of the Express

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the *Office of Initial Patent Examination*, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January , 2004	Robin L. Clow
Date of Deposit	Name of Person Mailing Paper
	Signature of Person Mailing Paner

Howrey Docket No. 02453.0022.NPUS00 Extreme Ref. No.: P101

Mail filing receipt dated September 8, 2003 (Exhibit A), a copy of a stamped post card incorrectly dated September 9, 2003 (Exhibit B), and a copy of the filing receipt (Exhibit C) incorrectly indicating a September 9, 2003 filing date.

No fee is believed due in connection with this communication. If any fee is required, the U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. **08-3038**, referencing Howrey Dkt. No. 02453.0022.NPUS00.

Respectfully submitted,

Dated: January // , 2004.

Robert C. Laurenson, Reg. No. 34,206

HOWREY SIMON ARNOLD & WHITE, LLP 301 Ravenswood Avenue, **Box No. 34** Menlo Park, CA 94025 FAX No. (650) 463-8400 Telephone No. (949) 759-5269

CALL 1-800-222-1811 FOR PICKUP OR TRACKING OF ALL YOUR PACKAGE

Para recolección o localización, llame al 1-800-222-1811 UNITED STATES POSTAL SERVICE.

SUTLE

deJ gnilisM yee Signature Customer Copy

ON INSURANCE

SERVICE GUARA SEE REVER

20,786-9199

Application

410NE (BL) 1813-919

TO: (PLEASE PRINT)

ď

22313-1450

500

TO ADDRESSEE POST OFFICE

EXPRESS UNITED STATES POSTAL SERVICE TM JIVW J

HOW TO USE

Exhibit A

Flat Rate Envelope 3 PM

Total Postage & Fees Acceptance-Glerk/Initials

3rd Day

Allitary

NO DELIVERY

PHONE LEGIST C. LEGISTERY

FROM: (PLEASE PRINT)

ederal Agency Acct. No. or Postal Service Acct. No.

express Mail Corporate Acct. No STOMER USE ONLY

92514-8200 2020 MAIN ST #1000 LAW OFFICES OF IRVINE, CA.

HOWREY SIMON ARNOLD & WHITE

MAIL STOPRENCH ATMICANOR COMMISSIONER OF PATENTS P 0 BOX 1450

22313-1450 ALEXANDRIA, VA.

COSULIN CEGO: BELLEO!

www.usps.gov

PRESS HARD. FOR PICKUP OR TRACKING CALL 1-800-222-1811 rou are making 3 copies.

© USPS 1995



Please indicate receipt of the be	y-identified paper:		<u>'</u> <u>P</u> 1					
New Application For:	Utility	Priority Date:						
Foreign priority already cla	imed	Fee Amount:	or any related fee not specified					
Continuation	CIP	Divisional	CPA					
Specification: 39Pages			Sheets					
Response to Office Action Dated: Final Rejection								
Other: Transmittal Letter (2 pages); Utility Patent Application Transmittal (1 page); Patent Application (39								
pages) and drawings (19 pages): Request and Certification: and postcard								
Assignment Enclosed	Cert. of Timely							
IDENTIFICATION OF APPLICATION								
Serial No.: Not Assigned		Responsible Attorney: RCL						
Title: SYSTEM FOR AND METHOD OF COMMUNICATING CONTROL INFORMATION								
BETWEEN ENTITIES INTERCONNECTED BY BACKPLANE CONNECTIONS								
Applicant: ERIK SWENSON, et al. Entered By: 00746 0.			By: 7 10/458216					
Client: Extreme Networks, Inc. Firm File No.: 02453.0022.NPUS00			53.0022.NPUS00 10/030210					
Mailed: September 8, 2003 File	ed: 9/8/03	Due Date:						
•			09/09/03					



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Alexandria, Virginia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/658,216	09/09/2003	2836	0.00	02453.0022.NPUS00	19 `	84 `	8 •

CONFIRMATION NO. 2715

27240 HOWREY SIMON ARNOLD & WHITE, LLP - OC TELVED

301 RAVENSWOOD AVENUE **BOX 34**

MENLO PARK, CA 94025

DEC 0 8 2003

Howing - JV

FILING RECEIPT OC000000011390636*

Date Mailed: 12/02/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Erik R. Swenson, Residence Not Provided; Stephen R. Haddock, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 12/01/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No ?

Title

System for and method of communicating control information between entities interconnected by backplane connections -

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of Wair (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370:10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).